



JAN 08 2007

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|---------------|
| | | Application No. | 10/583,053 |
| | | Filing Date | June 14, 2006 |
| | | First Named Inventor | Ruijia Li |
| | | Art Unit | Unknown |
| | | Examiner Name | Unknown |
| Total Number of Pages in This Submission | 24 | Attorney Docket Number | 42P22187 |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | -Return Receipt Postcard (1) |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) | -Cited Reference (1 Ref/8 pgs) |
| <input checked="" type="checkbox"/> PTO/SB/08 | <input type="checkbox"/> Landscape Table on CD | -PCT Search Report (9pgs) |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Basic Filing Fee | | |
| <input type="checkbox"/> Declaration/POA | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | Thomas M. Coester, Reg. No. 39,637 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | <i>Thomas Coester</i> |
| Date | January 3, 2007 |

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| | | | |
|-----------------------|--------------------------|------|-----------------|
| Typed or printed name | Susan M. Barrette | | |
| Signature | <i>Susan M. Barrette</i> | Date | January 3, 2007 |

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (nbc) 10/12/2006.
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.K./

**FEES TRANSMITTAL
for FY 2006**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

| <i>Complete if Known</i> | |
|--------------------------|---------------|
| Application Number | 10/583,053 |
| Filing Date | June 14, 2006 |
| First Named Inventor | Ruijia Li |
| Examiner Name | Unknown |
| Art Unit | Unknown |
| Attorney Docket No. | 42P22187 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|-----------------|--|
| Fee Code | Fee (\$) | Fee Code (\$) | |
| 1051 | 130 | 2051 65 | Surcharge - late filing fee or oath |
| 1052 | 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet. |
| 2053 | 130 | 2053 130 | Non-English specification |
| 1251 | 120 | 2251 60 | Extension for reply within first month |
| 1252 | 450 | 2252 225 | Extension for reply within second month |
| 1253 | 1,020 | 2253 510 | Extension for reply within third month |
| 1254 | 1,590 | 2254 795 | Extension for reply within fourth month |
| 1255 | 2,160 | 2255 1,080 | Extension for reply within fifth month |
| 1401 | 500 | 2401 250 | Notice of Appeal |
| 1402 | 500 | 2402 250 | Filing a brief in support of an appeal |
| 1403 | 1,000 | 2403 500 | Request for oral hearing |
| 1451 | 1,510 | 2451 1,510 | Petition to institute a public use proceeding |
| 1460 | 130 | 2460 130 | Petitions to the Commissioner |
| 1807 | 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 180 | Submission of Information Disclosure Stmt |
| 1809 | 790 | 1809 395 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 | 790 | 2810 395 | For each additional invention to be examined (37 CFR § 1.129(b)) |

Other fee (specify) _____

SUBTOTAL (2) **(\$)**

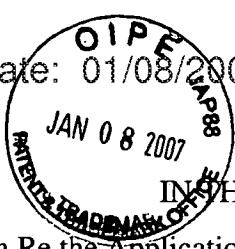
Complete (if applicable)

| | | | | | |
|-------------------|-------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Thomas M. Coester | Registration No. (Attorney/Agent) | 39,637 | Telephone | (310) 207-3800 |
| Signature | Thomas Coester | | | Date | 01/03/07 |

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 12/15/2004.
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.K./

Receipt date: 01/08/2007



10583053 - GAU: 2621

Docket No.: 42P22187

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RUIJIA LI, ET AL.

Application No.: 10/583,053

Filed: June 14, 2006

For: **A NOVEL USER SENSITIVE
INFORMATION ADAPTIVE VIDEO
TRANSCODING FRAMEWORK**

Art Group: Unknown

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated September 19, 2006 (copy enclosed herewith) which was forwarded to Applicant's Representative in a communication dated October 26, 2006.

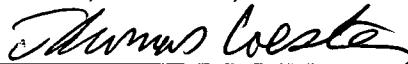
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 03, 2007



Thomas M. Coester, Reg. No. 39,637

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (310) 207-3800

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Susan M. Barrette

01-03-07

Date

Receipt date: 01/08/2007
JAN 08 2007

10583053 - GAU: 2621

| | | | | | |
|---|---|----|---|---------------------------------|---------------|
| <p>Substitute Copy Form 1449A (PCT) U.S. Patent and Trademark Office</p> <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p><i>(use as many sheets as necessary)</i></p> | | | | <p>Complete if Known</p> | |
| Sheet | 1 | of | 1 | Application Number | 10/583,053 |
| | | | | Filing Date | June 14, 2006 |
| | | | | First Named Inventor | Ruijia Li |
| | | | | Art Unit | Unknown |
| | | | | Examiner Name | Unknown |
| | | | | Attorney Docket Number | 42P22187 |

| | | | |
|-----------------------|----------------|--------------------|------------|
| Examiner Signature | /Hee-yong Kim/ | Date Considered | 03/29/2010 |
|-----------------------|----------------|--------------------|------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wdr) 08/11/2003.

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